

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF RECEIPT OF DEMAND BY COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

(PCT Rules 59.3(e) and 61.1(b), first sentence
and Administrative Instructions, Section 601(a))

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference	IMPORTANT NOTIFICATION	
International application No.	International filing date <i>(day/month/year)</i>	Priority date <i>(day/month/year)</i>
Applicant		

1. The applicant is hereby **notified** that this International Preliminary Examining Authority considers the following date as the date of receipt of the demand for international preliminary examination of the international application:

2. That date of receipt is:

the actual date of receipt of the demand by this Authority (Rule 61.1(b)).

the actual date of receipt of the demand on behalf of this Authority (Rule 59.3(e)).

the date on which this Authority has, in response to the invitation to correct defects in the demand (Form PCT/IPEA/404), received the required corrections.

3. **ATTENTION:** That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

(If applicable) This notification confirms the information given by telephone, facsimile transmission or in person on:

4. Only where paragraph 3 applies, a copy of this notification has been sent to the International Bureau.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION CONCERNING PAYMENT OF THE PRELIMINARY EXAMINATION AND HANDLING FEES

(PCT Rules 57 and 58 and
Administrative Instructions, Section 615)

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference

PAYMENT DUE see item 3 for time limit

International application No.

International filing date <i>(day/month/year)</i>

Applicant

1. The applicant is hereby notified that this International Preliminary Examining Authority has received:

the payment of all the prescribed fees, and **an overpayment**, which will be refunded in due course.

no or insufficient payment of the prescribed fees and the applicant is hereby **invited to pay the balance due**, as summarized under item 2, within the time limit indicated under item 3.

2. **Fees and payment calculation:**

Preliminary examination fee _____

Handling fee* + _____

Total fees payable = _____ - _____ = _____
Amount paid Balance

** Applicants from certain States are entitled to a reduction of 90% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 10% of the handling fee. See Notes to the Fee Calculation Sheet annexed to the Demand Form (PCT/IPEA/401) for details.*

3. **Time limit for payment and amount payable (Rules 57.3 and 58.1(b)):**

within ONE MONTH from the date on which the demand was submitted or 22 months from the priority date, whichever expires later; the amount payable is the amount applicable on the date of payment.

within ONE MONTH from the date of receipt of the demand by this Authority (where the demand was transmitted to this Authority under Rule 59.3) or 22 months from the priority date, whichever expires later; the amount payable is the amount applicable on the date of payment.

Name and mailing address of the IPEA/
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO CORRECT DEFECTS IN THE DEMAND

(PCT Rule 60.1)

To:	
Applicant's or agent's file reference	Date of mailing <i>(day/month/year)</i>
International application No.	REPLY DUE within ONE MONTH from the above date of mailing. See also below.
Applicant	International filing date <i>(day/month/year)</i>

The applicant is hereby **invited** within the time limit indicated above **to correct the following defects** which this International Preliminary Examining Authority has found in the demand for international preliminary examination:

1. It does not permit identification of the international application to which it relates (Rule 60.1(b)).
2. It does not contain the required petition (Rules 53.2(a)(i) and 53.3).
3. It does not contain the required indications concerning the agent as specified in the Annex (Rules 53.2(a)(ii) and 53.5).
4. It does not contain the required indications concerning the international application as specified in the Annex (Rules 53.2(a)(iii) and 53.6).
5. It is not submitted in the required language which is: _____ (Rule 55.1).
6. It is not made on the printed form (Rule 53.1(a)).
7. It is presented as a computer print-out the particulars of which do not comply with the Administrative Instructions (Rule 53.1(a)).
8. It does not contain the required indications concerning the applicant as specified in the Annex (Rules 53.2(a)(ii), 53.4 and 60.1(a-bis)).
9. It does not contain the required signature as specified in the Annex (Rules 53.2(b), 53.8, 60.1(a-ter) and 90.4).
10. Other observations (*if necessary*):

Effect of the date of receipt of the corrections on the date of receipt of the demand:

- (i) If the defect noted under item 1 is corrected within the time limit indicated above, the demand will be considered to have been received on the date when the corrections are received (Rule 60.1(b)).
If that date is later than the expiration of 19 months from the priority date, entry into the national phase in those elected Offices will **NOT** be postponed until the expiration of 30 months from the priority date, but only in respect of **some designated Offices**. In respect of **other designated Offices**, the time limit of 30 months (or later) may apply even if that date of receipt is later than the expiration of 19 months. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.
If that date is later than the expiration of the time limit referred to in Rule 54bis.1(a), the demand shall be considered as if it had not been submitted and this Authority shall so declare.
- (ii) If the defects noted under items 2 to 9 are corrected within the time limit indicated above, the demand shall be considered as if it had been received on the actual filing date (Rule 60.1(b)).

Effect of failure to correct the defects within the time limit indicated above:

In the case of defects noted under items 1 to 9, this Authority will declare that the demand is considered as if it had not been submitted.

A copy of this invitation has been sent to the International Bureau.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

Continuation of item 3: As to indications concerning **the agent** (Rules 53.2(a)(ii) and 53.5), the demand:

- a. does not properly indicate the agent's name (*specify*):
- b. does not indicate the agent's address.
- c. does not properly indicate the agent's address (*specify*):

Continuation of item 4: As to indications concerning **the international application**, the demand does not indicate:

- a. the international filing date.
- b. the international application number.
- c. the name of the receiving Office, where the international application number was not known to the applicant at the time the demand was filed.
- d. the title of the invention.

Continuation of item 8: As to indications concerning **the applicant*** (Rules 53.2(a)(ii), 53.4 and 60.1(a-bis)), the demand:

- a. does not indicate all the applicants.
- b. does not properly indicate the applicant's name (*specify*):
- c. does not indicate the applicant's address.
- d. does not properly indicate the applicant's address (*specify*):
- e. does not indicate the applicant's nationality.
- f. does not indicate the applicant's residence.

* Although Rule 53.2(a)(ii) requires indications concerning the applicant, or if there are several applicants, of each of them, for the purposes of Rule 53.4, if there is more than one applicant, it shall be sufficient that the required indications be provided in respect of one of them who has the right according to Rule 54.2 to make a demand (Rule 60.1(a-bis)).

Continuation of item 9: As to requirements concerning **signature** (Rules 53.2(b), 53.8, 60.1(a-ter) and 90.4), the demand:

- a. is not signed* by the applicant or, if there is more than one applicant, by at least one of them.
- b. is signed by what appears to be an agent/common representative but
- the demand is not accompanied by a power of attorney appointing him.
- the power of attorney accompanying the demand is not signed by all the applicants for the elected States.

* Although Rule 53.2(b) requires that all applicants must sign the demand (including all inventors/applicants for the designation of the United States of America), for the purposes of Rule 53.8, if there is more than one applicant, it shall be sufficient that the demand be signed by one of them (Rule 60.1(a-ter)).

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO RESTRICT
OR PAY ADDITIONAL FEES, AND,
WHERE APPLICABLE, PROTEST FEE

(PCT Article 34(3)(a) and Rules 68.2 and 68.3(e))

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference	REPLY OR PAYMENT DUE within ONE MONTH from the above date of mailing
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International application No.	International filing date <i>(day/month/year)</i>
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Applicant

<p>1. This International Preliminary Examining Authority</p> <p style="margin-left: 20px;">(i) considers that there are _____ <i>(number of)</i> inventions claimed in the international application as indicated in the Annex.</p> <p style="margin-left: 20px;">(ii) therefore considers that the international application does not comply with the requirement of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated in the Annex.</p> <p style="margin-left: 20px;">(iii) recalls that claims relating to inventions in respect of which no international search report has been established need not be the subject of international preliminary examination (Rule 66.1(e)).</p> <p>2. Consequently the applicant is hereby invited, within the time limit indicated above, to restrict the claims as suggested under item 4, below, or to pay the amount indicated below:</p> $\frac{\text{_____}}{\text{Fee per additional invention}} \times \frac{\text{_____}}{\text{number of additional inventions}} = \frac{\text{_____}}{\text{total amount of additional fees/currency}}$ <p>3. The applicant is informed that, according to Rule 68.3(c), the payment of any additional fees may be made under protest, that is, a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fees is excessive, where applicable, subject to the payment of a protest fee.</p> <p>Where the applicant pays additional fees under protest, the applicant is hereby invited, within the time limit indicated above, to pay a protest fee (Rule 68.3(e)) in the amount of _____ <i>(amount/currency)</i>.</p> <p>Where the applicant has not, within the time limit indicated above, paid the required protest fee, the protest will be considered not to have been made and the International Preliminary Examining Authority will so declare.</p> <p>4. If the applicant opts to restrict the claims, this Authority suggests the restriction possibilities indicated in the Annex, which in its opinion would be in compliance with the requirement of unity of invention.</p> <p>5. In the absence of any response from the applicant, this Authority will establish the international preliminary examination report on those parts of the international application indicated in the Annex which, in the opinion of this Authority, appear to relate to the main invention.</p>

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

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PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION THAT DEMAND CONSIDERED
NOT TO HAVE BEEN SUBMITTED

(PCT Rules 54.4, 54*bis*.1, 55.2(d) and 61.1(b),
second sentence)

To:	
Applicant's or agent's file reference	IMPORTANT NOTIFICATION
International application No.	Date of mailing <i>(day/month/year)</i>
Applicant	International filing date <i>(day/month/year)</i>

1. The applicant is hereby notified that **the demand is declared by this International Preliminary Examining Authority not to have been submitted** for the following reason:

a. the applicant does not have the right to make a demand (see Article 31(2)(a) and Rule 54.4) since he is not a resident or national of a Contracting State bound by Chapter II of the PCT.

b. the demand was made after the expiration of the time limit applicable under Rule 54*bis*.1(a).

c. the required amount of the following fee(s) has not been paid within the time limit referred to in the invitation (Form PCT/IPEA/440):

the preliminary examining fee the handling fee the late payment fee

d. the defect(s) in the demand has (have) not been corrected within the time limit referred to in the invitation (Form PCT/IPEA/404).

e. the translation of the international application has not been furnished within the time limit fixed in the invitation (Form PCT/IPEA/443).

2. Consequently, this Authority will **refund** to the applicant any amount paid in respect of the demand (Rules 57.6(ii), 58.3 and 58*bis*.1(b)):

in full partially, in the amount of _____

3. **ATTENTION**

Since the demand is considered not to have been submitted, it does **not** have the effect, in respect of some Offices, of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

4. A copy of this notification has been sent to the International Bureau.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 66)

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference	REPLY DUE within _____ months/days from the above date of mailing
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International application No.	International filing date (day/month/year)	Priority date (day/month/year)
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International Patent Classification (IPC) or both national classification and IPC

Applicant

<p>1. <input type="checkbox"/> The written opinion established by the International Searching Authority: <input type="checkbox"/> is <input type="checkbox"/> is not considered to be a written opinion of the International Preliminary Examining Authority.</p> <p>2. This _____ (first, etc.) opinion contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the opinion</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input type="checkbox"/> Box No. V Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p> <p>3. The applicant is hereby invited to reply to this opinion.</p> <p>When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(e).</p> <p>How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.</p> <p>Also For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4<i>bis</i>. For an informal communication with the examiner, see Rule 66.6. For an additional opportunity to submit amendments, see Rule 66.4.</p> <p>If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.</p> <p>4. The final date by which the international preliminary report on patentability (Chapter II of the PCT) must be established according to Rule 69.2 is: _____</p>

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

International application No. _____

Box No. I Basis of the opinion

1. With regard to the **language**, this opinion has been established on the basis of:
 - the international application in the language in which it was filed.
 - a translation of the international application into _____ which is the language of a translation furnished for the purposes of:
 - international search (Rules 12.3(a) and 23.1(b)).
 - publication of the international application (Rule 12.4(a)).
 - international preliminary examination (Rules 55.2(a) and/or 55.3(a)).
2. With regard to the **elements** of the international application, this opinion has been established on the basis of (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed."*):
 - the international application as originally filed/furnished
 - the description:
pages _____ as originally filed/furnished
pages _____ received by this Authority on _____
pages _____ received by this Authority on _____
 - the claims:
pages _____ as originally filed/furnished
pages _____ as amended (together with any statement) under Article 19
pages _____ received by this Authority on _____
pages _____ received by this Authority on _____
 - the drawings:
pages _____ as originally filed/furnished
pages _____ received by this Authority on _____
pages _____ received by this Authority on _____
 - a sequence listing - see Supplemental Box Relating to Sequence Listing.
3. The amendments have resulted in the cancellation of:
 - the description, pages _____
 - the claims, Nos. _____
 - the drawings, sheets/figs _____
 - the sequence listing (*specify*): _____
4. This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
 - the description, pages _____
 - the claims, Nos. _____
 - the drawings, sheets/figs _____
 - the sequence listing (*specify*): _____
5. This opinion has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 66.1(d-bis))
6. Supplementary international search report(s) from Authority(ies) _____ have been received and taken into account in drawing up this opinion (Rule 45bis.8(b) and (c)).

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Box No. II Priority

1. This opinion has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested:
 - copy of the earlier application whose priority has been claimed (Rule 66.7(a)).
 - translation of the earlier application whose priority has been claimed (Rule 66.7(b)).

2. This opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rule 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the relevant date.

3. Additional observations, if necessary:

WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

International application No.

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of:

the entire international application.

claims Nos. _____

because:

the said international application, or the said claims Nos. _____
relate to the following subject matter which does not require an international preliminary examination (*specify*):

the description, claims or drawings (*indicate particular elements below*) or said claims Nos. _____
are so unclear that no meaningful opinion could be formed (*specify*):

the claims, or said claims Nos. _____ are so inadequately supported
by the description that no meaningful opinion could be formed (*specify*):

no international search report has been established for said claims Nos. _____

a meaningful opinion could not be formed without the sequence listing; the applicant did not, within the prescribed time limit:

furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Preliminary Examining Authority in a form and manner acceptable to it.

furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Preliminary Examining Authority in a form and manner acceptable to it.

pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under Rules 13ter.1(a) or (b) and 13ter.2.

See Supplemental Box for further details.

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Box No. V Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	_____	YES
	Claims	_____	NO
Inventive step (IS)	Claims	_____	YES
	Claims	_____	NO
Industrial applicability (IA)	Claims	_____	YES
	Claims	_____	NO

2. Citations and explanations:

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Box No. VI Certain documents cited

1. Certain published documents (Rule 70.10)

Application No. Patent No. _____	Publication date <i>(day/month/year)</i> _____	Filing date <i>(day/month/year)</i> _____	Priority date (valid claim) <i>(day/month/year)</i> _____
----------------------------------------	------------------------------------------------------	-------------------------------------------------	-----------------------------------------------------------------

2. Non-written disclosures (Rule 70.9)

Kind of non-written disclosure _____	Date of non-written disclosure <i>(day/month/year)</i> _____	Date of written disclosure referring to non-written disclosure <i>(day/month/year)</i> _____
-----------------------------------------	--------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Supplemental Box Relating to Sequence Listing

Continuation of Box No. I, item 2:

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion was established on the basis of a sequence listing filed or furnished:
 - a. (means)
 - on paper
 - in electronic form
 - b. (time)
 - in the international application as filed
 - together with the international application in electronic form
 - subsequently to this Authority for the purposes of search and/or examination
 - to this Authority as an amendment on _____

2. In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3. Additional comments:

**WRITTEN OPINION OF THE
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

International application No.

Supplemental Box

In case **the space in any of the preceding boxes is not sufficient.**
Continuation of:

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION		See Form PCT/IPEA/416
International application No.	International filing date (<i>day/month/year</i>)	Priority date (<i>day/month/year</i>)	
International Patent Classification (IPC) or national classification and IPC			
Applicant			

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of _____ sheets, including this cover sheet.

3. This report is also accompanied by ANNEXES, comprising:

a. (*sent to the applicant and to the International Bureau*) a total of _____ sheets, as follows:

sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).

sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.

b. (*sent to the International Bureau only*) a total of (indicate type and number of electronic carrier(s)) _____ containing a sequence listing, in electronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see paragraph 3*bis* of Annex C of the Administrative Instructions).

4. This report contains indications relating to the following items:

Box No. I Basis of the report

Box No. II Priority

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Box No. IV Lack of unity of invention

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement

Box No. VI Certain documents cited

Box No. VII Certain defects in the international application

Box No. VIII Certain observations on the international application

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. _____

Box No. I Basis of the report

1. With regard to the **language**, this report is based on:

- the international application in the language in which it was filed.
- a translation of the international application into _____ which is the language of a translation furnished for the purposes of:
 - international search (Rules 12.3(a) and 23.1(b)).
 - publication of the international application (Rule 12.4(a)).
 - international preliminary examination (Rules 55.2(a) and/or 55.3(a)).

2. With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

- the international application as originally filed/furnished.
- the description:
 - pages _____ as originally filed/furnished.
 - pages* _____ received by this Authority on _____
 - pages* _____ received by this Authority on _____
- the claims:
 - pages _____ as originally filed/furnished.
 - pages* _____ as amended (together with any statement) under Article 19
 - pages* _____ received by this Authority on _____
 - pages* _____ received by this Authority on _____
- the drawings:
 - pages _____ as originally filed/furnished.
 - pages* _____ received by this Authority on _____
 - pages* _____ received by this Authority on _____
- a sequence listing - see Supplemental Box Relating to Sequence Listing.

3. The amendments have resulted in the cancellation of:

- the description, pages _____
- the claims, Nos. _____
- the drawings, sheets/figs _____
- the sequence listing (*specify*): _____

4. This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- the description, pages _____
- the claims, Nos. _____
- the drawings, sheets/figs _____
- the sequence listing (*specify*): _____

5. This report has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 70.2(e)).

6. Supplementary international search report(s) from Authority(ies) _____ have been received and taken into account in drawing up this report (Rule 45bis.8(b) and (c)).

* If item 4 applies, some or all of those sheets may be marked "superseded."

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

Box No. II Priority

1. This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested:

- copy of the earlier application whose priority has been claimed (Rule 66.7(a)).
- translation of the earlier application whose priority has been claimed (Rule 66.7(b)).

2. This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rule 64.1). Thus for the purposes of this report, the international filing date indicated above is considered to be the relevant date.

3. Additional observations, if necessary:

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of:

- the entire international application.
- claims Nos. _____

because:

- the said international application, or the said claims Nos. _____ relate to the following subject matter which does not require an international preliminary examination (*specify*):

- the description, claims or drawings (*indicate particular elements below*) or said claims Nos. _____ are so unclear that no meaningful opinion could be formed (*specify*):

- the claims, or said claims Nos. _____ are so inadequately supported by the description that no meaningful opinion could be formed (*specify*):

- no international search report has been established for said claims Nos. _____

- a meaningful opinion could not be formed without the sequence listing; the applicant did not, within the prescribed time limit:

- furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Preliminary Examining Authority in a form and manner acceptable to it.

- furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Preliminary Examining Authority in a form and manner acceptable to it.

- pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under Rules 13ter.1(a) or (b) and 13ter.2.

- See Supplemental Box for further details.

Box No. IV Lack of unity of invention

1. In response to the invitation to restrict or pay additional fees the applicant has, within the applicable time limit:
- restricted the claims.
 - paid additional fees.
 - paid additional fees under protest and, where applicable, the protest fee.
 - paid additional fees under protest but the applicable protest fee was not paid.
 - neither restricted the claims nor paid additional fees.
2. This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is:
- complied with.
 - not complied with for the following reasons:
4. Consequently, this report has been established in respect of the following parts of the international application:
- all parts.
 - the parts relating to claims Nos. _____

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

Box No. VI Certain documents cited

1. Certain published documents (Rule 70.10)

Application No. Patent No.	Publication date (<i>day/month/year</i>)	Filing date (<i>day/month/year</i>)	Priority date (valid claim) (<i>day/month/year</i>)
-------------------------------	-----------------------------------------------	------------------------------------------	----------------------------------------------------------

2. Non-written disclosures (Rule 70.9)

Kind of non-written disclosure	Date of non-written disclosure (<i>day/month/year</i>)	Date of written disclosure referring to non-written disclosure (<i>day/month/year</i>)
--------------------------------	-------------------------------------------------------------	------------------------------------------------------------------------------------------------

International application No.

Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

Supplemental Box Relating to Sequence Listing

Continuation of Box No. I, item 2:

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this report was established on the basis of a sequence listing filed or furnished:
 - a. (means)
 - on paper
 - in electronic form
 - b. (time)
 - in the international application as filed
 - together with the international application in electronic form
 - subsequently to this Authority for the purposes of search and/or examination
 - to this Authority as an amendment* on _____
2. In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
3. Additional comments:

* If item 4 in Box No. I applies, the sequence listing, which forms part of the basis of the report, may be marked "superseded."

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.
Continuation of:

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO REQUEST RECTIFICATION

(PCT Rules 91.1(h) and 91.2)

To:	
Applicant's or agent's file reference	Date of mailing <i>(day/month/year)</i>
International application No.	REPLY DUE see item 2 and the last paragraph below
Applicant	International filing date <i>(day/month/year)</i>

1. This International Preliminary Examining Authority has discovered in the international application/in other documents submitted by the applicant to this Authority what appears to be an obvious mistake:

as shown on the attached copy.

as specified hereafter:

2. The applicant is hereby **invited to submit a request for rectification** to the following authority:

the receiving Office this International Preliminary Examining Authority the International Bureau of WIPO
 34 chemin des Colombettes
 1211 Geneva 20, Switzerland

HOW TO CORRECT A MISTAKE?

Except where the mistake is in the request, any rectification must be submitted in the form of a replacement sheet or sheets, accompanied by a letter drawing attention to the differences between the replaced sheet and the replacement sheet. For a mistake in the request, the desired rectification may simply be stated in a letter if it is of a nature where the change can be transferred clearly onto the request record copy (Rule 26.4).

ATTENTION

No rectification will be made without the express authorization of the competent authority indicated above and the request for rectification must be submitted to that authority within 26 months from the priority date (Rule 91.2).

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF DECISION CONCERNING REQUEST FOR RECTIFICATION

(PCT Rule 91.3(a) and (d))

To:	
Applicant's or agent's file reference	Date of mailing <i>(day/month/year)</i>
International application No.	International filing date <i>(day/month/year)</i>
Applicant	
REPLY DUE NONE However, see last paragraph below	

The applicant is hereby notified that this International Preliminary Examining Authority has considered the request for rectification of obvious mistakes in the international application/in other documents submitted by the applicant to this Authority, and has decided:

1. a. to authorize the rectification:
 - as requested by the applicant.
 - to the extent set forth below*:
- b. The rectification **will be or has been taken into account** for the purposes of the international preliminary examination (Rule 70.2(e)).
- The rectification **has not been taken into account** because it was authorized by this Authority after this Authority has begun to draw up the international preliminary examination report (Rule 70.2(e)).

2. to refuse to authorize the rectification or part of it for the following reasons*:

A copy of this notification, together with a copy of the applicant's request for rectification, has been sent to the International Bureau.

* **If the authorization of the rectification has been refused in whole or in part**, the applicant may request the International Bureau, within two months from the date of the refusal and subject to the payment of a special fee, to publish the request for rectification and the reasons for refusal by this Authority and any further brief comments that may be submitted by the applicant together with the international application. See Rule 91.3(d) and, for the amount of the fee, see the *PCT Applicant's Guide*, Volume I, Annex B2(IB).

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF
REQUESTED COPIES OF DOCUMENTS IN FILE

(PCT Rule 94.1)

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference

INFORMATION ONLY

International application No.

International filing date (day/month/year)

Applicant

This International Preliminary Examining Authority, in response to the request received, transmits herewith _____ (*number of*) copies of the following documents contained in the file of the international application.

(*List of documents*)

Name and mailing address of the IPEA/ Facsimile No.

Authorized officer Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO FURNISH TRANSLATION OF PRIORITY DOCUMENT

(PCT Rule 66.7(b))

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference

REPLY DUE within TWO MONTHS from the above date of mailing

International application No.

International filing date <i>(day/month/year)</i>

Applicant

This International Preliminary Examining Authority hereby invites the applicant to furnish to it, within the time limit indicated above, a translation into the following language:

of the following earlier application(s) whose priority is claimed in the international application.

Failure to furnish the requested translation within that time limit may result in the international preliminary examination report being established as if the priority(ies) had not been claimed.

<u>Country</u>	<u>Priority date</u>	<u>Priority number</u>

Name and mailing address of the IPEA/
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION CONCERNING
DOCUMENTS TRANSMITTED

To:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20
Switzerland

Date of mailing
(*day/month/year*)

This International Preliminary Examining Authority transmits herewith the following documents:

(*number*)

1. _____ demands (Rule 61.1(a)).
2. _____ copies of international preliminary examination reports and their annexes (Rule 71.1).
3. _____ other documents (*specify*):

The Annex contains a list identifying each document transmitted by the type of document it is, the corresponding international application number and, if necessary, other information.

Name and mailing address of the IPEA/

Authorized officer

Facsimile No.

Telephone No.

ANNEX TO FORM PCT/IPEA/415

Type of document	International application No.	Other information

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF
INTERNATIONAL PRELIMINARY
REPORT ON PATENTABILITY
(Chapter II of the Patent Cooperation Treaty)

(PCT Rule 71.1)

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference	IMPORTANT NOTIFICATION	
International application No.	International filing date <i>(day/month/year)</i>	Priority date <i>(day/month/year)</i>
Applicant		

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the *PCT Applicant's Guide*.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed invention is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF
REQUESTED COPIES OF CITED DOCUMENTS

(PCT Article 36(4))

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference

INFORMATION ONLY

International application No.

International filing date (day/month/year)

Applicant

This International Preliminary Examining Authority, in response to the request received, transmits herewith _____ (*number of*) copies of the documents listed below. These documents were cited in the international preliminary examination report established on the international application but were not cited in the international search report.

(*List of documents*)

Name and mailing address of the IPEA/ Facsimile No.

Authorized officer Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF DECISION ON PROTEST
OR DECLARATION THAT PROTEST
CONSIDERED NOT TO HAVE BEEN MADE

(PCT Rule 68.3(c) and (e) and
Administrative Instructions, Section 603)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date
(day/month/year)

Applicant

The applicant is hereby notified that this International Preliminary Examining Authority, after having examined the protest on the payment of the additional fees, has reached the decision indicated below.

1. **The protest is found justified** to the extent that:

total reimbursement of the additional fees and, where applicable, any protest fee will be made in due course

partial reimbursement in the amount of _____ (amount/currency)
will be made in due course for the following reason(s):

2. **The protest is found unjustified** and the additional fees and, where applicable, any protest fee paid will not be refunded for the following reason(s):

3. The International Preliminary Examining Authority declares that the **protest is considered not to have been made** since the applicant has not paid the protest fee within the time limit referred to in the invitation (Form PCT/IPEA/405) dated _____ .

ATTENTION

The applicant should notify the International Bureau promptly if he wishes a copy of the protest and the decision thereon to be sent to the elected Offices.

A copy of this notification has been sent to the International Bureau

Name and mailing address of the IPEA/

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF DEMAND
TO THE INTERNATIONAL BUREAU OR TO THE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(a) and (f) and
Administrative Instructions, Section 601)

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference	IMPORTANT NOTIFICATION
International application No.	International filing date <i>(day/month/year)</i>
	Priority date <i>(day/month/year)</i>
Applicant	

1. This International Preliminary Examining Authority, which has **received** on the date indicated below a demand for international preliminary examination, is not competent for the international preliminary examination of the international application:

_____ *(date of receipt)*

2. The applicant is hereby **notified** that:
 - this Authority **has transmitted the demand to the International Bureau** which will transmit it, as the case may be, directly to the competent International Preliminary Examining Authority and inform the applicant accordingly, or invite the applicant to indicate the competent International Preliminary Examining Authority to which the demand should be transmitted.
 - this Authority **has transmitted the demand directly to the competent International Preliminary Examining Authority** which is:

3. The date of receipt indicated above has been marked on the demand; **the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.**
 - ATTENTION:** That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.
 - ATTENTION:**
 - That date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a). Any demand made after the expiration of said time limit shall be considered as if it had not been submitted and the International Preliminary Examining Authority shall so declare (Rule 54bis.1(b)).
 - This International Preliminary Examining Authority is not in a position to determine whether that date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report (or of the declaration referred to in Article 17(2)(a)) and the written opinion established under Rule 43bis.1 or 22 months from the priority date, whichever expires later.
 - (If applicable)* The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _____

4. A copy of this notification is being sent to the International Bureau or to the competent International Preliminary Examining Authority indicated above, as the case may be.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION CONCERNING
PAPER BEING DISREGARDED OR
DOCUMENT CONSIDERED AS NOT
HAVING BEEN SUBMITTED

(PCT Rules 92.1(b), last sentence, and 92.4(g)(ii))

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date <i>(day/month/year)</i>

Applicant

<p>1. <input type="checkbox"/> An invitation (Form PCT/IPEA/423) to correct defects in correspondence submitted by the applicant was mailed by this International Preliminary Examining Authority on:</p> <p style="text-align: center;">_____ .</p> <p>However, no response to the invitation was received by this Authority within the time limit indicated in that invitation.</p> <p>Consequently, this Authority hereby notifies the applicant that the paper referred to in that invitation is being disregarded.</p> <p>2. <input type="checkbox"/> An invitation (Form PCT/IPEA/434) to furnish the original of a document transmitted by telegraph, teleprinter, facsimile machine, etc., was mailed by this Authority on:</p> <p style="text-align: center;">_____ .</p> <p>However, no response to the invitation was received by this Authority within the time limit indicated in that invitation.</p> <p>Consequently, this Authority hereby notifies the applicant that the document referred to in that invitation is considered as not having been submitted.</p>

Name and mailing address of the IPEA/
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO PAY PRESCRIBED FEES
TOGETHER WITH LATE PAYMENT FEE

(PCT Rule 58bis)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

PAYMENT DUE within **ONE MONTH** from
the above date of mailing

International application No.

International filing date
(day/month/year)

Applicant

1. This International Preliminary Examining Authority has found that the **prescribed fees** (that is, preliminary examination and handling fees) have not been paid (in full), within the time limit prescribed under Rules 57 and 58 (see below for details of the calculation).

2. The applicant is hereby **invited**, within the time limit indicated above, **to pay the total amount due indicated below**:

Preliminary examination fee _____ **P**

Handling fee* + _____ **H**

Total fees payable = _____

Amount paid - _____

Total unpaid fees = _____ + _____ = _____
Late payment fee Total amount due

* Applicants from certain States are entitled to a reduction of 90% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 10% of the handling fee. See Notes to the Fee Calculation Sheet annexed to the Demand Form, PCT/IPEA/401, for details.

3. **Failure to pay** the total amount due within the time limit indicated above may result in this Authority declaring that the demand is considered as if it had not been submitted (Rule 58bis.1(b)).

4. Additional observations (if any):

A copy of this Invitation has been sent to the International Bureau.

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

To:

INVITATION TO FURNISH NUCLEOTIDE AND/OR
AMINO ACID SEQUENCE LISTING AND TO PAY,
WHERE APPLICABLE, LATE FURNISHING FEE

(PCT Rule 13^{ter}.2 and Administrative Instructions,
Section 208 and Annex C)

	Date of mailing (<i>day/month/year</i>)
Applicant's or agent's file reference	REPLY DUE within _____ months/days from the above date of mailing
International application No.	International filing date (<i>day/month/year</i>)
Applicant	

1. The applicant is hereby **invited**, within the time limit indicated above, to furnish to this Authority:

- a nucleotide and/or amino acid sequence listing **on paper** complying with the standard provided for in Annex C of the Administrative Instructions, accompanied by a **statement** to the effect that the sequence listing does not go beyond the disclosure in the international application as filed.
- a **statement** to the effect that the sequence listing on paper or in electronic form, as the case may be, already furnished to this Authority, does not go beyond the disclosure in the international application as filed.
- a nucleotide and/or amino acid sequence listing **in electronic form** complying with the standard provided for in Annex C of the Administrative Instructions, accompanied by a **statement** that the information recorded in electronic form is identical to the sequence listing as contained in the international application.
- a **statement** confirming that the information recorded in electronic form submitted under Rule 13^{ter} is identical to the sequence listing as contained in the international application.
- a nucleotide and/or amino acid sequence listing **in electronic form** complying with the standard provided for in Annex C of the Administrative Instructions, accompanied by a **statement** to the effect that the sequence listing does not go beyond the disclosure in the international application as filed.

2. The applicant is hereby invited, within the time limit indicated above, to pay to this Authority:

- a late furnishing fee in the amount of _____ (*currency/amount*)

3. **Failure to comply with this invitation** may result in this Authority only carrying out the international preliminary examination to the extent that a meaningful examination can be carried out without the sequence listing.

4. Further observations (*if necessary*):

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO INDICATE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(f) and
Administrative Instructions, Section 601)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference	REPLY DUE	15 days from the above date of mailing or within the time limit applicable under Rule 54bis.1(a), whichever expires later
---------------------------------------	------------------	---------------------------------------------------------------------------------------------------------------------------

International application No.	International filing date (day/month/year)	Priority date (day/month/year)
-------------------------------	--------------------------------------------	--------------------------------

Applicant

1. This International Preliminary Examining Authority, which has **received**, on the date indicated below, a demand for international preliminary examination, is not competent for the international preliminary examination of the international application:

_____ (date of receipt)

2. The applicant is hereby **invited**, within the time limit indicated above, **to indicate the competent International Preliminary Examining Authority** to which the demand should be transmitted (Rule 59.3(c)(ii)).

Failure to respond to this invitation, within the time limit indicated above, will result in this Authority declaring that the demand will be considered not to have been submitted (Rule 59.3(d)).

3. The date of receipt indicated above has been marked on the demand; **provided the applicant responds to this invitation within the time limit indicated above, the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.**

ATTENTION: That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

ATTENTION:

That date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a). Any demand made after the expiration of said time limit shall be considered as if it had not been submitted and the competent International Preliminary Examining Authority shall so declare (Rule 54bis.1(b)).

This International Preliminary Examining Authority is not in a position to determine whether that date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report (or of the declaration referred to in Article 17(2)(a)) and the written opinion established under Rule 43bis.1 or 22 months from the priority date, whichever expires later.

(If applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _____

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

INVITATION TO FURNISH TRANSLATION
FOR THE PURPOSES OF
INTERNATIONAL PRELIMINARY EXAMINATION

(PCT Rules 55.2, 55.3 and 66.9)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE within **ONE MONTH** from the
above date of mailing

International application No.

International filing date (day/month/year)

Priority date (day/month/year)

Applicant

1. The applicant is hereby **invited to furnish** to this International Preliminary Examining Authority, within the time limit indicated above, a translation of the international application into the (one of the) following language(s):

Failure to furnish the required translation within that time limit will result in the demand being considered not to have been submitted.

2. The applicant is hereby **invited to furnish** to this International Preliminary Examining Authority, within the time limit indicated above, in the following language:

the following element(s) referred to in Box VI (Check list) of the demand:

- translation of the international application
- amendments under Article 34
- amendments under Article 19
- statement under Article 19
- letter

Failure to furnish the required element(s) in the required language within the time limit indicated above, will result in the amendment not being taken into account for the purposes of international preliminary examination.

Name and mailing address of the IPEA/

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION BY NON-COMPETENT
INTERNATIONAL PRELIMINARY EXAMINING
AUTHORITY THAT DEMAND CONSIDERED
NOT TO HAVE BEEN SUBMITTED

(PCT Rule 59.3(d) and (f))

To:

Date of mailing <i>(day/month/year)</i>

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date <i>(day/month/year)</i>

Applicant

1. The applicant is hereby notified that **this International Preliminary Examining Authority declares that the demand is considered not to have been submitted** since the applicant has not furnished within the time limit referred to in the invitation (Form PCT/IPEA/442) the indication of the competent International Preliminary Examining Authority to which the demand should have been transmitted.

2. This Authority will **refund** to the applicant any amount paid in respect of the demand and no international preliminary examination will be carried out.

3. **ATTENTION**
Since the demand is considered not to have been submitted, it does **not** have the effect, in respect of some Offices, of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

4. A copy of this notification has been sent to the International Bureau.

Name and mailing address of the IPEA/ Facsimile No.

Authorized officer Telephone No.
